SSGA Upromise 529 Plan Quarterly Giveaway For A Chance to Win \$529

NO PURCHASE NECESSARY. A PURCHASE WILL NOT INCREASE YOUR CHANCES OF WINNING.

- 1. <u>How to Enter</u>: Complete official entry form available at the SSGA Upromise 529 booth and deposit in official entry box. No mechanically reproduced, illegible, incomplete, forged or altered entries will be accepted. Limit: One entry per person. Sponsor, specified below, is not responsible for late, lost, misdirected, incomplete, illegible, damaged, or incorrect entries.
- 2. <u>Selection of Winner:</u> Winners will be selected on a quarterly basis in a random drawing from among all eligible entries received by representatives of Sponsor, whose decisions are final on all matters relating to this Giveaway. Odds of winning depend upon number of eligible entries received. Winners will be notified by telephone, U.S. Mail, or E-mail and, if winner does not already have a SSGA Upromise 529 Plan account (the "529 Account"), the winner will be required to complete documentation necessary to open a 529 Account, including an enrollment form with investment instructions. Prize may be awarded to alternate winner if 529 Account documentation is not returned in good order within 14 days after mailing to winner, if winner is not eligible to open the 529 Account, if winner does not provide investment instructions, if prize notification letter is returned as undeliverable or if 3 attempts to contact winner live by telephone are unsuccessful. The 529 Account is an Internal Revenue Code section 529 qualified tuition program, intended specifically for the payment of qualified higher education expenses for a designated beneficiary. Entrants may obtain a Disclosure Statement by calling 800-587-7305 or by downloading it from www.ssga.upromise529.com, and should read it carefully before investing.
- 3. Prize: One Grand Prize: \$529 paid directly to the winner's 529 Account (existing account or a to-be-established 529 Account). Allow 8 12 weeks for delivery of Grand Prize. Sponsor reserves right to substitute prize of equal or greater value. No other substitution or transfer of prize permitted. Sponsor responsible only for delivery of prize; not responsible for prize utility or otherwise. This Giveaway does not take into account winner's personal circumstances or other factors that may be important in making investment decisions. Winner should consult his or her own tax advisor about tax implications, if any, of the 529 Account, contributions and/or withdrawals from the 529 Account. Sponsor reserves the right to disqualify any entrant if these Giveaway rules are not followed. Prize may be considered income, and all federal, state or local taxes associated with prizes are the sole responsibility of the winner. No other substitution or transfer of prize permitted. Sponsor responsible only for delivery of prize; not responsible for prize utility or otherwise. Sponsor reserves the right to disqualify any entrant if these Giveaway rules are not followed.
- 4. <u>Eligibility</u>: Giveaway open to individual legal residents of the State of Nevada 18 years or older, except employees and their immediate families and members of the same household of Sponsor, SSGA State Street Global Advisors, Ascensus Broker Dealer Services, LLC., Nevada College Savings Program Board and their respective agents or affiliates.
- 5. Miscellaneous and Conditions of Participation: This offer is void where prohibited or restricted by law and is subject to applicable federal, state and local laws and regulations. Taxes and fees, if any, are the sole responsibility of winner. By participating in this Giveaway, each entrant agrees to be bound by these official rules and the decisions of the Sponsor, and warrants that (s)he is eligible to participate in this Giveaway. Each entrant agrees, except where prohibited by law, to release and discharge, hold harmless and indemnify the Sponsor, its employees, agents and representatives, officers and directors and their immediate families, successors and assigns, and all others associated with the development and execution of this Giveaway, from any and all tax liability that may be imposed or associated with receipt or use of the prizes, and from and against any and all claims, actions, proceedings, and liability for any damages, expenses, fees, injury or losses sustained in connection with the receipt, ownership, or use of any prize or while traveling to, preparing for, or participating in any Giveaway-related activity. By entering the Giveaway or by winning, entrants grant to the Sponsor the right to do a story and/or publicize the entrant's name, photograph, image, likeness, voice, statements, and biographical information (telephone number and city) for advertising, trade, and promotion purposes without compensation and without opportunity for review, except where prohibited by law. The Giveaway is not a recommendation to invest in the SSGA Upromise 529 Plan.
- 6. The Sponsor reserves the right to change, alter, or amend these Giveaway rules or to alter, modify, or terminate the Giveaway, as necessary, in its sole discretion, to ensure the fair administration of the Giveaway or to comply with applicable law. For a list of winners' names, mail your request and a self-addressed envelope to the address listed below.
- 7. Sponsor: SSGA Upromise 529 Plan, 95 Wells Ave, Newton, MA 02459.
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